## Neutrinos et accélérateurs: vers la 3ème saveur

## André Rubbia (ETH, Zürich)

Le neutrino dans tous ses états: Journée Jacques Bouchez
19 Novembre 2010


## Back in 1997...

# THE FUTURE OF NEUTRINO OSCILLATIONS AT ACCELERATORS 

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Invited talk at the $32^{\text {nd }}$ rencontre de Moriond on electroweak interactions and unified theories

Les Arcs, France 15-22 march 1997


#### Abstract

The future neutrino oscillation experiments at accelerators are reviewed. Long baseline experiments will address the atmospheric neutrino anomaly. Intermediate baseline experiments will be sensitive to the LSND effect. Short baseline experiments will increase our sensitivity on mixing parameters by an order of magnitude in the domain of cosmologically relevant neutrino masses.


## 1 The present situation and strategies for the future

Presently, there exist several indications of neutrino oscillations, that is the possibility for neutrinos born in a given flavor to develop components in the other flavors, the probability of such an occurence showing an oscillatory pattern with time. Such a phenomenon implies neutrino masses and a mismatch between mass eigenstates and flavor eigenstates, leading to lepton number violation. More specifically, in the 3 -family case, the conversion probability for a $\nu_{\alpha}$ of energy $E_{\nu}$ to interact at a distance $L$ as a $\nu_{\beta}$ is given by (assuming CP conservation, which implies that a real rotation matrix $U$ links the flavor eigenstates to the mass eigenstates):

$$
P_{\alpha \rightarrow \beta}(L)=\delta_{\alpha \beta}-a_{12} \sin ^{2} \theta_{12}-a_{13} \sin ^{2} \theta_{13}-a_{23} \sin ^{2} \theta_{23}
$$

with

$$
a_{i j}=4 U_{\alpha i} U_{\alpha j} U_{\beta i} U_{\beta j}
$$

and

$$
\theta_{i j}=\frac{m_{j}^{2}-m_{i}^{2}}{4} \times \frac{L}{E_{\nu}}
$$

J. Bouchez, Moriond I997

## Three indications of $U$ oscillations

The 3 present indications of oscillations come from solar neutrino experiments [1], from atmospheric neutrinos [2], and from the Los Alamos experiment [3]. The explanations in terms of oscillations correspond to 3 very distinct mass scales, respectively $10^{-5}, 10^{-2}$ and $1 \mathrm{eV}^{2}$. It is clear that in a 3 -family scheme, at least one of these experiments has to be either wrong or uncorrectly interpreted in terms of oscillations, since only two different mass scales should be present. To clarify the situation, it is crucial to check these results with other experiments, using if possible different techniques to decrease the chance of unidentified common systematic effects.

Finally, in front of the somewhat confused present situation, another totally legitimate strategy is to focuss on unexplored domains of oscillation parameters. In particular the domain of high neutrino masses (above a few eV ) is of cosmological relevance as it could explain at least part of the dark matter in the Universe. Two accelerator experiments (CHORUS and NOMAD) are presently searching for $\nu_{\tau}$ appearance with a sensitivity of a few $10^{-4}$ on the oscillation amplitude. These are short baseline experiments ( $\mathrm{L} / \mathrm{E} \simeq 0.05 \mathrm{~km} / \mathrm{GeV}$ ). And projects are underway to gain an order of magnitude on the oscillation probability at high masses.

## Jacques' conclusions in 1997

## 5 Conclusion

Although the present situation on neutrino oscillations is somewhat confused, the experimental efforts, present and future, will hopefully help in clarifying the situation. The first results to come, within a few months, are those of CHOOZ and SuperKamioka; they will have a big influence on the interest of long baseline experiments. On the other hand, the LSND result has to wait 2 or 3 years before being confirmed or disproved by KARMEN; a confirmation would give a considerable importance to the JURA experiment, the only one able to test $\nu_{\tau}$ appearance. Of course, positive indications of oscillations in CHORUS and NOMAD would add to the confusion, and make the next generation of short baseline experiments absolutely mandatory.

## J. Bouchez, Moriond I997

## NOMAD and CHORUS at CERNWANF (1993-2000)



## The NOMAD experiment (1993-2000)

Neutrino Oscillation MAgnetic Detector- WA96

«Electronic bubble chamber»
Only 2-ton target, but very fine grain calorimeter
$10^{6}$ events obtained
Search for $\nu_{\mu} \rightarrow \nu_{\tau}$ (together with Chorus)

$\diamond$ History
Beg 91 Proposal (sis Le $91-11 / 91-21 / 91-48$ )
Sep 91 Approval
Aug 92 Status Report (SPS LC 92-51)
Summer 93 Magnet + Mu chambers ready $X_{5}$ test seams
Fall 93 TRD, PS, CAL ready FIRST NEUTRINO RUN

23 Apr 94 First DC's NEUTRINO RUN 94

## NOMAD Collaboration in 1994

## Annecy LAPP

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## The NOMAD drift chambers

Drift chambers are used to reconstruct the charged tracks and are the target.
They have to be massive enough to obtain an important number of neutrino interactions but light enough to minimize the multiple scattering.
The active target ( 3 tons) is composed of 44 chambers. 5 additional chambers are installed individually inside the TRD region and are used to improve the lever arm for tracking and for a better extrapolation of the tracks to the rest of subdetectors. Each chamber is composed of 3 planes of sensitive wires. The precision on the position of a hit in the chambers is <200 micrometers vertically and 2 millimeters horizontally (perpendicular to the beam). The drift chambers were build in CEA-Saclay by the DAPNIA.


Fig. 2. A sideview of one NOMAD drift chamber cut by a plane orthogonal to the $X$ axis.

Drift Chambers Problems:
Very tight construction schedule (1 chaimber/4dari) $\sim 15$ technicians, $\sim 2500 \mathrm{~m}^{2}$
17 chambers currently inside magnet
Delays due to operation problems with the chambers at CERN
$\square$ A chronology_
Aug 91 = Definition, Designs, Prototypes, Many tests
Nov 92 = Final structure with honey comb strict."
Apr $93=$ Final choice of manufacturer
Apr $94=10$ chambers at CERN
$\Delta$ many broken wires; Cure $=$ better glowing of wires $\Rightarrow$ no more broken wires
July $94=13$ chambers at CERN
$\Delta H . V$. problems; on some reopened chambers, Doubles were seen on the strips
Outgassing from panel?
Add a polyurethane film
 between the Kevlar and strips mylar $\Rightarrow$ indication that polyurethane prevents bubble formation

Sept 94: 17 chambers
© More studies are underway at saclay and at CERN to understand more precisely the aigin of problems.
Saclay has produced 24 new chambers and repaired 8 in 35 weeks!!

ID Plan for 1995
and Feb 95: 25 new chambers from Saclay $14^{\text {". }} 1 \mathrm{~d}^{\prime}$ chambers to be modified at CERN
$\rightarrow$ Detector Complete in 1995
$\square$ Dummy $1.6 t$ target in place of chambers

## MEMORANDUM NOMAD COLLABORATION

Amherst, Annecy LAPP, Calabria, CERN, Dortmund, Dubna JINR, CENN SPSLE Florence, Harvard, John Hopkins, Lausanne, Melbourne, Moscow INR, Padova, Paris 6 \& 7, Pavia, Pisa,, Saclay CEN, Sydney ANSTO, Sydney, UCLA, Zagreb.

As stated in the minutes of the Research Board 94-219, the West Area Neutrino facility will run until the end of 1997.

The NOMAD detector is now functioning well with an active target of about 3 tons. The performances of all the subdetectors are up to expectations. Neutrino interactions have been reconstructed (see the example of Fig. 1), and electrons and muons have been identified.

NOMAD is now steadily taking data and we wish to inform the Committee that we plan to continue data taking during the years 1996 and 1997 in order to reach the maximum sensitivity in the $v_{\mu}-v_{\tau}$ oscillation search.

## DC performance



Fig. 11. Residuals for a sample of normal incidence tracks similar to the ones used for the alignment of the drift chambers.


Fig. 12. The dependence of the track residuals on the drift distance for different crossing angles.
$D A Q:$
entirely electronic detector
\#channels: $8000 \mathrm{TDC}^{\prime}$ s

$$
3300 \text { ADC's }
$$

Front end electronic with digital buffer with multi event capability
(dead - time $\lesssim 0.2 \%$ event)
FASTBUS JVME implementation
Backend = SUN workstations
圈 Readat cycle


SPS cycle. 14.4 s

High rate capability
up to $90^{\prime} 000$ euts/hour (alignment chamber, calbration, etc)


## Typical neutrino interactions



## The analysis strategy

Today we can safely say that the difficulty of the analysis
had been largely underestimated in the NOMAD proposal. This "crisis" led to (forced us to) the development of new ideas, which are now commonly exploited in modern neutrino experiments.
1.- Likelihood technique
2.- Blind analysis: signal region (box) definition
3.- Data simulator corrections
4.- Background prediction for positive candidates and negative candidates outside the signal region
5.- Box opening

## Tau decay channels analyzed

a)

b)

c)

$\square$ Electron channel:
© Select prompt electron (no other prompt leptons allowed)
© Background sources:
$v_{\mathrm{e}} \mathrm{CC}$ natural beam contamination ( $\sim 1 \%$ )


Hadronic channels:
(). Select most isolated hadron(s)Background sources:
$v_{\mathrm{e}}$ and $v_{\mu} \mathrm{CC}$ with unidentified prompt lepton
$v N C$

## Tau kinematical selection

- Charged Current Background rejection: Kinematic configuration in the plane perpendicular to the incoming $v$ direction


Amount of imbalance: magnitude of the missing transverse momentum $P_{T}$ Direction of imbalance: angles $\Phi_{\mathrm{lh}}$ and $\Phi_{\mathrm{mh}}$

- Neutral Current Background rejection: isolation of $\tau$ decay products from the hadronic jet

$Q_{T}=$ component of the momentum of visible $\tau$ decay products perpendicular to the total visible momentum vector


## 1. Rejection of NC interactions in $\tau^{-} \rightarrow h^{-}$

- Kinematics preselection: $\mathrm{M}_{\mathrm{T}}<4 \mathrm{GeV}, \mathrm{p}_{\mathrm{T}}{ }^{\text {had }}>1.3 \mathrm{GeV}, \rho_{\mathrm{H}}<0.49$
- Rejection of NC (also some CC) backgrounds by means of likelihood function:




## 1. Rejection of CC interactions in $\tau^{-} \rightarrow \mathrm{h}^{-}$

Background due to unidentified prompt $\mu$ taken as the hadron candidate


Likelihood built using data events with an identified muon, which is considered to be the hadron candidate

$$
\mathrm{L}_{1}{ }^{\mu \rightarrow \pi}=\left[\mathrm{Q}_{\mathrm{T}}, \rho_{1}, \rho_{\mathrm{H}}\right]
$$

## 2. Blind analysis: Box concept



## 3. Data Simulator

## Data control sample used to correct for the discrepancies

 observed between Monte-Carlo and real data events
## THE METHOD

- Identified $v_{\mu} \mathrm{CC} \Rightarrow$ remove the $\mu \Rightarrow$ replace it by another lepton
- Do it for DATA (DS) and MC events (MCS)
- Backgrounds and signal efficiencies are corrected:

$$
\varepsilon=\varepsilon_{\mathrm{MC}} \times \varepsilon_{\mathrm{DS}} / \varepsilon_{\mathrm{MCS}}
$$




## 4. Background Prediction






Good agreement demonstrated

## 5. $\tau^{-} \rightarrow h^{-}$box opening



No oscillation evidence

## NOMAD analysis summary

| Channel | Est. Backg. | $N_{\text {obs }}$ | $N_{\tau}$ | $\varepsilon(\%)$ |
| :---: | :---: | :---: | :---: | :---: |
| $\tau \rightarrow \mathrm{e}^{-} \nu_{\tau} \overline{\mathrm{v}}_{\mathrm{e}}$ DIS | $5.3+0.08$ | 5 | 4110 | 3.6 |
| $\tau \rightarrow \mathrm{e}^{-} \nu_{\tau} \overline{\mathrm{v}}_{\mathrm{e}} \mathrm{LM}$ | $5.4 \pm 0.4$ | 6 | 859 | 6.3 |
| $\tau \rightarrow \rho^{-} \nu_{\tau}$ DIS | $9.5 \pm 2.5$ | 7 | 3307 | 1.04 |
| $\tau \rightarrow h^{-}\left(+n \pi^{0}\right) \nu_{\tau}$ DIS | $6.8 \pm 2.1$ | 5 | 2022 | 0.70 |
| $\tau \rightarrow h^{-} / \rho^{-} \nu_{\tau}$ DIS | $0 \pm 0.74$ | 1 | 210 | 0.07 |
| $\tau \rightarrow \rho^{-} \nu_{\tau} \mathrm{LM}$ | $5.2 \pm 1.8$ | 7 | 458 | 2.0 |
| $\tau \rightarrow h^{-}\left(+\mathrm{n} \pi^{0}\right) \nu_{\tau} \mathrm{LM}$ | $6.7 \pm 2.3$ | 5 | 357 | 0.84 |
| $\tau \rightarrow \pi^{-} \pi^{-} \pi^{+} \nu_{\tau}$ DIS | $9.6 \pm 2.4$ | 9 | 1820 | 1.9 |
| $\tau \rightarrow \pi^{-} \pi^{-} \pi^{+} \nu_{\tau}$ LM | $3.5 \pm 1.2$ | 5 | 288 | 1.8 |



$$
\mathbf{P}\left(v_{\mu}->v_{\tau}\right)<2.2 \times 10^{-4}, \mathbf{9 0 \%} \text { C.L. }
$$

$$
\text { Sensitivity }=(4.3 \pm 2.7) \times 10^{-4}
$$

Using prescription of G. J. Feldman and R. D. Cousins Phys. Rev. D57 (1998) 3873

## NOMAD final tau appearance result

> | 95 DATA: $\sin ^{2} 2 \theta<4.2 \times 10^{-3}$ | $[$ Phys. Lett. B431 (1998) 219] |
| ---: | :--- |
| 95-97 DATA: $\sin ^{2} 2 \theta<1.2 \times 10^{-3}$ | $[$ Phys. Lett. B453 (1999) 169] |
| $95-98$ DATA: $\sin ^{2} 2 \theta<4.4 \times 10^{-4}$ | $[$ Phys.Lett. B483 (2000) 387-404] |



## NOMAD final tau appearance result

NOMAD Collab., Nucl.Phys. B611 (2001) 3-39


No evidence for oscillations

## NOMAD results (SPIRES)

- 35 published papers
- Some examples:
- Final NOMAD results on muon-neutrino ---> tau-neutrino and electron-neutrino ---> tau-neutrino oscillations including a new search for tau-neutrino appearance using hadronic tau decays. NOMAD Collaboration (P.Astier et al.). CERN-EP-200I-043. Jun 2001. 46 pp. Published in Nucl.Phys. B6II (2001) 3-39
- Search for nu(mu) ---> nu(e) oscillations in the NOMAD experiment. NOMAD Collaboration (P.Astier et al.). CERN-EP-2003-038. Jun 2003. 19 pp. Published in Phys.Lett. B570 (2003) I9-3I
- Measurement of the Lambda polarization in nu/mu charged current interactions in the NOMAD experiment. NOMAD Collaboration (P. Astier et al.). CERN-EP-2000-I I I. Jul 2000. 3 I pp. Published in Nucl.Phys. B588 (2000) 3-36


## Jacques' addendum in 1997

## Note added (july 1997)

After this report was written, new informations have become available:

- SuperKamioka has given first preliminary results on atmospheric neutrinos, confirming the global deficit of $\nu_{\mu}$ 's relative to $\nu_{e}$ 's. But the azimuthal dependance for multi- GeV events, although not incompatible with Kamioka, looks much less pronounced and is compatible with a flat distribution. More precise results are eagerly awaited.
- The CERN committee SPSLC has recommended the construction of a neutrino beam aiming at Gran Sasso. It seems now possible to complete this beam in 2001. Furthermore, a new scheme for the SPS supercycle (after LEP is stopped) would give a factor 3 improvement in neutrino flux. A sooner start with higher intensity makes ICARUS at Gran Sasso more competitive with respect to the KEK and FNAL projects. As a consequence of this scenario, the JURA project is compromised and TOSCA (also recommended by the SPSLC) would have to move to an underground hall in the new beam, 1 km away from the source. It is conceivable however that a totally flat azimuthal distribution in SuperKamioka would lead to reconsider this scenario.


## A few years later... in 2000

Status of present neutrino experiments at accelerators and reactors
J. Bouchez ${ }^{\text {a }}$
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## 5. CONCLUSIONS

Most experiments described above have reached or are near completion, so that the overall picture for neutrino oscillations is not expected to change in the near future.

At high energy accelerators, no $\nu_{\mu} \rightarrow \nu_{\tau}$ oscillation has been observed, and the final limit on oscillation probability will be near $10^{-4}$, an improvement of more than a factor 20 over previous limits. The expertise acquired in both detection techniques, and in particular the tremendous improvements done by CHORUS on automated emulsion processing, will be very useful to the future long baseline experiments at Gran Sasso such as OPERA and ICANOE in their search for $\nu_{\tau}$ interactions.

The LSND effect will need new experiments, such as BooNE, for a definite cross check (see F.Bobisut's contribution).

For reactor experiments, the future is to even higher distances with deep underground detectors, with the prospect of testing by a terrestrial experiment one of the oscillation scenarios (MSW at large angle) which can explain the solar neutrino deficit.

## Four-Seas-Conference,Thessaloniki (2002)

From: bouchez@hep.saclay.cea.fr (Jacques Bouchez)

## Subject: thessalonique

Date: February 19, 2002 1:33:22 PM GMT+01:00
To: ANDRE.RUBBIA@cern.ch, DANIEL.DENEGRI@cern.ch
je suis d'accord avec la proposition d'Andre pour le partage entre neutrinos atmospheriques pour lui et neutrinos solaires (+KamLand) pour moi.
ciao,

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> "small or large mixing angles ?"

## Oscillation map - "allowed regions"



André Rubbia, ETH/Zürich 2002, Four Seas Conference

## CERN-Gran Sasso and OPERA (2006-)

CERN to Gran Sasso Long Baseline Neutrinos



| Decay channel | Detection efficiency(\%) | Branching ratio(\%) | $\begin{gathered} \text { Signal } \\ \left(\Delta \mathrm{m}^{2}=2.5 \times 10^{-3}\right) \end{gathered}$ | Background |
| :---: | :---: | :---: | :---: | :---: |
| $T \rightarrow \mu$ | 17.5 | 17.7 | 2.9 | 0.17 |
| $\mathrm{T} \rightarrow \mathrm{e}$ | 20.8 | 17.8 | 3.5 | 0.17 |
| $\mathrm{T} \rightarrow \mathrm{h}$ | 5.8 | 49.5 | 3.1 | 0.24 |
| T $\rightarrow 3 \mathrm{~h}$ | 6.3 | 15 | 0.9 | 0.17 |
| ALL | effxBR $=10.6 \%$ |  | 10.4 | 0.75 |

Difficult experiment, and can only expect a handful of events...

SM1
SM2


Extract Brick and CS, scan CS.
Confirm the event in the ECC brick.
Develop brick and send to scanning labs.


Emulsion layers ( $50 \mu \mathrm{~m}$ )

## CERN Accelerator Complex



## Total Integrated Intensity since CNGS Start in 2006



## OPERA - direct evidence for $\mathrm{V}_{\mu} \rightarrow \mathrm{V}_{\mathrm{T}}$ !

emulsion cloud chamber technique

- The micron-resolution with one kilo-ton mass scale.
- $\mathbf{c} \tau_{\tau}=87 \mu \mathrm{~m}$

- The Expected Number of BG
$-0.018 \pm 0.007$ for the I prong tau selection
- $0.045 \pm 0.020$ for all kinds of tau selections
- The expected Signal events
$-0.54 \pm 0.13$ (syst.) @ $\sin ^{2} 2 \theta_{23}=1.0, \Delta \mathrm{~m}_{23}{ }^{2}=2.5 \times 10^{-3} \mathrm{eV}^{2}$
- The statistical Significance
$-2.36 \sigma$ with $0.018 \pm 0.007 \mathrm{BG}$ events
$-2.01 \sigma$ with $0.045 \pm 0.020 \mathrm{BG}$ events
OPERA to take data at least until $\approx 2013$
- Muonless event 9234II9599 (22 August 2009, 19:27)




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> OPERA to take data at least until $\approx 2013$

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First candidate $\mathrm{V}_{\mu}->\mathrm{V}_{\tau} \quad \tau^{-}->\pi^{-}+\pi^{0}$

## Instead of LBL tau appearance

- Jacques decided to join the Japanese efforts to look for the "third flavor" of a different kind. He played a fundamental role in setting up the France-Japan Collaboration in K2K, and then contributed to the initial phases of T2K

PRL 96, 181801 (2006)

PHYSICAL REVIEW LETTERS
week ending
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## Improved Search for $\boldsymbol{\nu}_{\boldsymbol{\mu}} \rightarrow \boldsymbol{\nu}_{\boldsymbol{e}}$ Oscillation in a Long-Baseline Accelerator Experiment

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## T2K off-axis detector



The Off-axis near detector (ND280) provides

- Off axis beam measurement based on CCQE
- beam nue contamination
- Super-K background measurements ( $\mathrm{NC}^{\circ}$ )

Two target regions :

- The POD (Brass/Plastic segmented) : $\pi^{0}$ detector
- The tracker region : Fined grained plastic detector and TPC
- Both region have passive water planes

Large Calorimeter coverage (Plastic/Pb segmented)

- Additional $\mathrm{NC}^{0}$ production measurement in tracker and PID, hermicity, active veto

Side Muon ranging detector

- Neutrino Rate, Side muons, cosmics trigger

Precise cross-section measurements with very large statistics !!!

## T2K ND280 off-axis detector



## T2K ND280 off-axis detector



## LAGUNA "name" vote

From: "bouchez" [bouchez@hep.saclay.cea.fr](mailto:bouchez@hep.saclay.cea.fr)
Subject: RE: Vote for the name of the three liquids project
Date: June 12, 2006 7:31:15 PM GMT+02:00
To: "Andre Rubbia" [andre.rubbia@cern.ch](mailto:andre.rubbia@cern.ch)

Mon cher Andre,
Je reconnais bien la ton souci de la precision!
Ton mail m'a rappele que ie devais te demander si tu as essave de te faire rembourser ton voyage a Paris pour la these de Maximilien en envoyant ton billet. Je n'en ai pas entendu parler. Qu'en est-ilexactement?

Amicalement,

Jacques Bouchez
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## The last email

 I received from Jacques
## Thank you very much for your attention!



